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TO:		FROM:
ATTN: Office of Petitions		Andrew J. Dillon, Reg. No. 29,634
ORGANIZATION:		DATE:
US Patent and Trademark Office		May 9, 2006
ART UNIT:	CONFIRMATION NO.:	TOTAL NO. OF PAGES INCLUDING COVER:
2143	9333	8
FAX NUMBER:		APPLICATION SERIAL NO.:
571-273-8300		10/042,412
ENCLOSED:		ATTORNEY DOCKET NO.:
Renewed Petition to Revive		AUS919970761US2

☒ URGENT    ☐ FOR REVIEW    ☐ PLEASE COMMENT    ☐ PLEASE REPLY    ☐ PLEASE RECYCLE

NOTES/COMMENTS:

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**MAY 09 2006**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

ATTORNEY DOCKET NO. AUS919970761US2

**In re Application of:**

**JAMES W. ARENDT, ET AL.**

**Serial No. 10/042,412**

**Filed: January 7, 2002**

**For: HIGHLY SCALABLE AND  
HIGHLY AVAILABLE CLUSTER  
SYSTEM MANAGEMENT SCHEME**

.....

**Examiner: Jude Jean Gilles**

Art Unit: 2143

**RENEWED PETITION TO REVIVE UNDER 37 C.F.R. § 1.181**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

On April 12, 2006 a Petition to Revive was filed under 37 C.F.R. § 1.137(a). This petition was dismissed on April 26, 2006. A Renewed Petition to Revive is being submitted under 37 C.F.R. § 1.181 pursuant to the suggestion contained within the dismissal.

On January 23, 2006, Examiner Jean Gilles telephoned Martha Acosta, a secretary at IBM Corporation, the assignee of the present application and inquired regarding whether or not a reply had been submitted to the non-final Office Action mailed July 13, 2005. Ms. Acosta immediately contacted our offices, as the firm of Dillon & Yudell LLP is responsible for the prosecution of this application.

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(A)]**

I hereby certify that this correspondence is being:

☐ deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☒ transmitted by facsimile on the date shown below to the U.S. Patent and Trademark Office at (571)273-8300.

5-9-2006

Date \_\_\_\_\_

Patent and Trademark Office at (571) 273-8300.  
  
 Jane Graham

## Jane Graham

AUS919970761US2

Page 1

Repeated telephone calls to the Examiner were made in an effort to obtain a copy of the non-final Office Action mailed July 13, 2005, as a Petition to Revive under 37 C.F.R. § 1.137(a) must be accompanied by the required reply.

After repeated attempts to obtain a copy of the Office Action mailed July 13, 2005, a call was made to the Acting Director of Technology Center 2100 on March 22, 2006, and on that date, a copy of the Office Action was faxed to the undersigned attorney from the Director's office, as evidenced by the first sheet of that Office Action which is attached hereto.

Thereafter, I personally conducted a search of the file jacket and docket records for this application and determined that the office communication was not received. A copy of the docket record where the Office communication would have been entered is also attached for your review. In further support of this statement, I submit herewith the Declaration of Vicky Filipowsky, the docket administrator for our firm. Ms. Filipowsky declares that she is the docket administrator for Dillon & Yudell LLP and that all correspondence from the United States Patent and Trademark Office is received and docketed by her. She also indicates that she examined the file folder for this application and verifies that no copy of the Office Action mailed July 13, 2005, was found therein.

In preparing to submit a Petition to Revive this application, I have reviewed the Office Action mailed July 13, 2005, and note that the Office Action is directed to Claims 1-26. A careful review of our file indicates that a Preliminary Amendment was submitted with the filing of this application which canceled Claims 1-13, 21-22 and 26. That Preliminary Amendment was received by the Office on January 7, 2002, as evidenced by Applicant's stamped post card receipt, of copy of which is submitted herewith. Claims 14 and 23 were amended by that Preliminary Amendment and new Claims 27-31 were added by that Amendment, thus, Applicant is unable to submit a required reply as the Office Action mailed July 13, 2005, did not examine the claims which were present in the application at the time of filing.

On March 27, 2006, Applicant submitted an Administrative Query pointing out to the Office that although no response was submitted to the Office Action dated July 13, 2005, that Office Action was, on its face, defective in that it was directed to claims which were no longer under consideration.

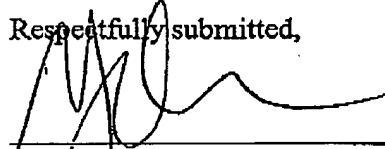
In response to that Administrative Query, the Examiner has indicated that, despite the defective nature of the Office Action mailed July 13, 2005, a Petition to Revive this application was the appropriate response.

Thus, please consider this petition as a Renewed Petition to Revive under 37 C.F.R. § 1.181, for having failed to respond to the defective July 13, 2005, Office Action, which was never received by attorneys for the Applicant.

Applicant does not believe that a fee should be charged because the Office Action issued could not have been responded to with anything other than a request for a proper Office Action directed to the submitted claims; however, in the event such a fee is required, please charge that fee to IBM Corporation **Deposit Account 09-0447**.

No extension of time for this response is believed to be necessary. However, in the event an extension of time is required, that extension of time is hereby requested. Please charge any fee associated with an extension of time as well as any other fee necessary to further the prosecution of this application to IBM Corporation **Deposit Account 09-0447**.

Respectfully submitted,



---

Andrew J. Dillon  
Registration No. 29,634  
DILLON & YUDELL LLP  
8911 North Capital of Texas Hwy.  
Suite 2110  
Austin, Texas 78759  
(512) 343-6116

ATTORNEY FOR APPLICANT

MAY/09/2006/TUE 04:00 PM DILLON & YUDELL, LLP FAX No. 5123436446

P. 005

03/22/06 WED 12:40 FAX 5712730107

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/042,412	01/07/2002	James W. Arendt	AU8919970761US2	9333

7590 07/13/2005

Bracewell & Patterson, L.L.P.  
Intellectual Property Law  
P. O. Box 969  
Austin, TX 78767-0969

EXAMINER

JEAN GILLES, JUDE

ART UNIT

PAPER NUMBER

2143

DATE MAILED: 07/13/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

MAY 09 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ARENDT ET AL.

Serial No. 10/042,412

Filed: JANUARY 7, 2002

For: SMART TABLE

§ Attorney Docket No. AUS919970761US2

§

§ Examiner: J. JEAN

§

§ Art Unit: 2143

§

§

DECLARATION AFTER HOLDING OF ABANDONMENTPURPOSE OF THIS DECLARATION:

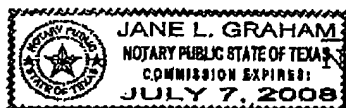
1. "I, Vicky Filipowsky, am over eighteen (18) years of age, of sound mind, capable of making this Declaration and am personally acquainted with the facts stated in it.
2. I am Docket Administrator for Dillon & Yudell LLP, having a business address of 8911 N. Capital of Texas Hwy., Suite 2110, Austin, Texas, 78759, since March 1, 2004. Prior to March 1, 2004, I was Docket Manager for the same group of attorneys practicing at Bracewell & Patterson, L.L.P., having a mailing address of P.O. Box 969, Austin, Texas 78767-0969. As such, I receive and docket all incoming correspondence from the U. S. Patent and Trademark Office addressed to this group of attorneys at either address.
3. On January 23, 2006, I received a telephone call from our client, IBM, reporting that Examiner Jean had notified them that the above-referenced patent application had gone abandoned for lack of a response to a first Office Action of July 13, 2005. Said Office Action was never received by me for docketing, although the mailing address noted on the Office Action: P.O. Box 969, Austin, Texas 78767-0969 is still monitored on a regular basis by Dillon & Yudell LLP.
4. I have carefully examined the file folder for this application and can verify that no copy of the Office Action dated July 13, 2005, was placed in the application file folder."

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

*Vicky Filipowsky*  
Vicky Filipowsky

SUBSCRIBED AND SWORN TO on this 22 day of March, 2006, to certify which witness my hand and seal of office.

My Commission expires:



*Jane L. Graham*  
JANE L. GRAHAM  
NOTARY PUBLIC, STATE OF TEXAS

Received in the U.S.P.T.O.  
In re Application of:  
James W. Arendt, et al.  
Highly Scalable and Highly Available Cluster System Management  
Scheme

NEW PATENT APPLICATION  
ENCLOSED HEREWITH:

1. Transmittal Letter Requesting Divisional Application (in duplicate)  
and (with attachments); w/ Certificate of Express  
Mail (ET657447168US);
2. Copy of originally filed application and drawings;
3. Copy of prior oaths or declarations as originally filed;
4. Assignments of inventors to IBM Corporation as originally filed (2 pages);
5. Seven (7) sheets of formal drawings;
6. Preliminary Amendment;
7. PTO Form 1449; References marked AA-BB; and
8. Our return postcard.

IBM CORPORATION  
Our File No. 0116AD-AUS919970781US2  
Mailed: January 7, 2002  
RNM/db

1/28  
JCB28 U.S. PTO  
10/042412  
01/07/02

OPER ☐ VF ENTERED ☐ 1/10/02 MODIFIED ☐ 8/6/02 ATTORNEYS ☐ AJD / ☐ RNM / ☐ N/A PRINTED ON: ☐ 8/6/02  
B&P# ☐ 0116AD.045606 CNTRY ☐ US ☐ UNITED STATES NEW/CON ☐ DIV RELATED ☐ 0116AD.028257  
PATS# ☐ P30790US1 TYPE ☐ UTL SERIAL# ☐ 10/042,412 PATENT# ☐ STAT ☐ PUBLISHED  
TITLE ☐ (RNM/DEV)DIV.: HIGHLY SCALABLE AND HIGHLY AVAILABLE CLUSTER SYSTEM MANAGEMENT SCHEME ART# ☐  
CLIENT ☐ 0116AD ☐ IBM Corporation Pursuant to: PO# 966EV841 CREF ☐ AUS919970781 SE ☐ NO LOC ☐  
AGENT ☐ AREF ☐ J. LABAW CLAIMS ☐  
PRIOR ☐ 7/10/98 MAIL ☐ 1/7/02 FILE ☐ 1/7/02 PUBL ☐ 7/11/02 ISSUE ☐ EXP ☐ 7/10/18 1ST ☐ 7/10/98

ID	O	ACTION	BASE	DUE IN	DUE	EXTNS	FINAL	EXT	RESPONSE	CALL	1	2	P
AS	Y	REQ.DIVIS. APPL.	1/7/02						1/7/02				
-W/PRELIM. AMENDMENT, IDS													
PC	N	POSTCARD REC'D	1/7/02	1M	2/7/02		2/7/02	0	1/28/02	0M	Y	Y	Y
DS	N	INF DISCLOSURE STMT	1/7/02	3M	4/7/02	0	4/7/02	0	1/7/02	2M	Y	Y	Y
FS	N	FILG RCPT	1/7/02	3M	4/7/02		4/7/02	0	2/5/02	0M	Y	Y	Y
AS	Y	CORR. FILING RECEIPT	7/29/02						7/29/02				
-PUBLICATION DATE NOT APPLICABLE													
PU	N	PUBLICATION NOTICE	1/7/02	18M	7/7/03		7/7/03	0	7/12/02	1M	Y	Y	Y
SC	N	STATUS CHECK-O.A.?	1/7/02	18M	7/7/03	0	7/7/03	0		1M	Y	Y	Y

## INVENTORS

JAMES ARENDT

## PRIOR APPLICATIONS

REFERENCE#	CNTRY	SERIAL#	FILED	TITLE / DESCRIPTION	TYPE
0116AD.028257	US	09/114,051	7/10/98	(RNM/DEV)HIGHLY SCALABLE AND HIGHLY AVAILABLE CLUSTER SYSTEM MANAGEMENT SCHEME	APPL



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